Resource Management Awards Program
Organization Nomination Form

Nominations must be received by 13 November 2014

Nominee Data

Organization Name:

Army Command (AC

Command Level *(Must be checked)* ☒ ACOM, ASCC, DRU Headquarters
☐ Below ACOM, ASCC, DRU Headquarters

Permanent Office Address of Team Leader (Include Zip Code or APO/FPO #)

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Organization Members:

(ONE ORGANZIATION)

RANK/GRADE

1. ████████████ COL/06
2. ████████████ LTC/05
3. ████████████ MAJ/04
4. ████████████ CIV/GS-12
5. ████████████ LTC/05
6. ████████████ MAJ/04
7. ████████████ CPT/03
8. ████████████ CW4
9. ████████████ CW4
10. ████████████ CW4
11. ████████████ SFC/E7
12. ████████████ CIV/GS-12
13. ████████████ MAJ/04
14. ████████████ SFC/E7
15. ████████████ LTC/05
16. _____
17. _____
18. _____
19. _____
20. _____

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Justification Data; Specific FY Accomplishments (must be limited to one page)

Name(s): ██

Tasking/Responsibilities: In 2009, Congress mandated that Department of Defense obtain a clean audit opinion of all of its financial statements by 2017. The Secretary of Defense accelerated the timeline to obtain a clean audit opinion of the Statement of Budgetary Resources (SBR) by 2014. As a result, ██████████ created its Audit Readiness Task Force to steer subordinate units on a glide path to achieve a clean audit opinion.

Accomplishment: Within twelve months, ██████████ formed an Audit Readiness Task Force, developed a standardized audit program, and improve the accuracy rate of audit sample results from 10% to 95% for both SBR and Existence and Completeness (E&C). This was a phenomenal feat considering the challenges of conducting simultaneous operations with forward deployed units i█████████████████████████████████

The Audit Readiness Task Force published a detailed Execution Order (EXORD) directing the implementation of the ██████████ audit readiness program. The EXORD required commanders to conduct an audit readiness wellness check using the "Army Commanders' Audit Readiness Checklist". The feedback motivated the Task Force to aggressively develop a long-term solution to systematically improve audit readiness throughout ██████████ The Task Force identified critical business processes, documented end-to-end process flow charts, created related Job Aids, Standard Operating Procedures, and identified standardized repository for audit readiness evidentiary documents.

The Task Force instituted a quality Audit Readiness Change Management Plan for continuous change effort in the way of conducting business in accordance with standard practices with robust internal controls. This change strategy in both SBR and E&C drastically improved overall controls and procedures throughout ██████████ This led to the development of repeatable business processes for archive and retrieval of audit readiness critical documents.

The Task Force spearheaded the process for discovery learning in Army Supply Transactions and Requisitions which has been labeled as a "control gap" and represent controls that have not been implemented across the Army. The Task Force maintained constant interaction with the Office of the Assistant Secretary of the Army (Financial Management & Comptroller) (OASA (FM&C)) and provided sample documents (although exempt from testing) as well as a business process structure for supply transactions to help fix this control gap in the "Army Commanders' Audit Readiness Checklist".

Lastly, the Task Force recognized the criticality of determining the most effective standardized storage location for all SBR documents not currently stored in a system of record (i.e. General Fund Enterprise Business System, Wide Area Workflow, Defense Travel System Automated Time Attendance & Production System, etc.). The Task Force established a working group with U██████████████████████████████████ and Defense Finance & Accounting Service personnel to determine the best document storage location. Consequently, the working group developed a Course of Action (COA) brief with a recommendation for an Army wide implementation. The task force identified ARIMS (Army Records Information Management System) as the standard storage location because it met Department of the Army directive for long term and permanent storage of financial records, and allowed for standardized document storage and retrieval. The recommendation was presented to OASA (FM&C) for review.

Significance: ██████████ audit readiness program has been recognized by OASA (FM&C) as the standard for the Department of the Army. The Task Force developed and shared trend analysis, implemented corrective actions plans, real time information, and training material to assist commands based on lessons learned and audit testing results. This information has been made available to all on the ██ The Task Force recognized that audit readiness is not seen as a new set of requirements, but rather a way of conducting business in accordance with standard practices with robust internal controls. The Task Force's effort to achieve Audit Readiness for ██████████ has been nothing short of exceptional.